

# Part 2B of Form ADV: Brochure Supplement

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This brochure supplement provides information about the individual(s) listed above that supplements the Financial Trust Asset Management, Chartered brochure. Please contact Arno O Mayer at <a href="mayer@financialtrust.net">amayer@financialtrust.net</a> or 561.391.8188 if you did not receive Financial Trust Asset Management, Chartered's brochure or if you have any questions about the contents of this supplement.

Additional information about the Financial Trust Asset Management is available on the SEC's website at www.adviserinfo.sec.gov.

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# Arno Otto Mayer, CFA, CFP

Year of Birth: 1963

### Educational Background and Business Experience

#### Education:

Florida Atlantic University – B.A., Finance 1985
Tallahassee Community College – Associate of Arts 1983

### Business Experience:

Financial Trust Asset Management, Chartered – CEO/CCO/Chief Investment Officer	4/1989 – Present
Financial Trust Research Partners – CEO	5/2014 - Present
Infinity Technology Partners, LLC – Managing Member	1/2014 – 12/2016
Steinberg Global Asset Management – Director of Quantitative Research/Portfolio Manager	4/2002 - 9/2004
NationsBank/Stephens – Vice President	8/1993 – 3/1995

### Designations:

Arno Otto Mayer has earned the following designation(s) and is in good standing with the granting authority:

### Chartered Financial Analyst® (CFA®) – CFA Institute

1999

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 107,000 CFA charterholders working in 135 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. Source: CFA Institute

#### Certified Financial Planner (CFP) – College of Financial Planning;

1989

A CFP is a title conveyed by the International Board of Standards and Practices for Certified Financial Planners. A Certified Financial Planner must pass a series of exams and enroll in ongoing education classes, as well as have obtained a Bachelor's Degree from an accredited institution. Knowledge of estate planning, tax preparation, insurance, and invest is required, as is at least 3 years of work experience in a related field.

#### Certified Digital Asset Advisor (CDAA)

2022

The Certified Digital Asset Advisor program was established in 2020 as the leading provider of Digital Asset education for financial advisors in the U.S.

# **Disciplinary Information**

Arno Otto Mayer has no reportable disciplinary history.

#### Other Business Activities

#### Investment-Related Activities

Arno Otto Mayer is the 90% Shareholder and Managing Member of Financial Trust Research Partners (FTRP), an Investment Research company, Mr. Mayer spends about 10% of his time on FTRP related matters. Please refer to the cover page for additional information regarding Financial Research Partners.

Information provided by FTRP may or may not have already been implemented by Financial Trust for its clients' accounts, models, or strategies. FTRP or Financial Trust does not assume any responsibility for any perceived

opinions regarding the information contained in the investment research. Due to the timing of the research from FTRP as well as uncontrollable market movements and events the clients, models and strategies of Financial Trust and any subscribers or readers of FTRP research may ultimately invest in or exit out of the markets at widely varying prices.

Arno Otto Mayer does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

Potentially, such activities could be viewed as creating a conflict of interest in that the time and effort of our management personnel and employees will not be devoted exclusively to the business of the registered investment adviser. We mitigate this conflict by disclosing it to you, our client.

#### Non-Investment-Related Activities

Arno O. Mayer is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

### Additional Compensation

Arno Otto Mayer does not receive any economic benefit from a non-advisory client for the provision of advisory services.

# Supervision

Arno O. Mayer as CEO/CCO/Chief Investment Officer of Financial Trust Asset Management is responsible for all supervision and formulation and monitoring of investment advice offered to clients. Mr. Mayer and Mr. Matthews are responsible for the overall firm management. Compliance matters are reviewed by Mr. Mayer as the Chief Compliance Officer.

Arno Mayer, the Chief Compliance Officer, can be contacted by phone at 561-391-8188.

### Requirements for State-Registered Advisers

Mr. Mayer has never been the subject of a bankruptcy petition nor has does he have any additional reportable disciplinary history to report.

# Michael J. Matthews, CFA

Year of Birth: 1986

### Educational Background and Business Experience

#### Education:

University of Central Florida – B.S., Finance & Economics

2009

### **Business Experience:**

Financial Trust Asset Management – President/Portfolio Manager - Partner	8/2015 – Present
Financial Trust Research Partners – President	5/2014 – Present
Florida Atlantic University – Adjunct Professor	1/2017 – Present
Financial Trust Asset Management, Chartered – Senior Analyst	7/2013 – 8/2015
Academic Concepts Tutoring – Math Tutor/Academic Coach	8/2012 – 6/2014
Independent Tutoring – Math Tutor/Academic Coach	4/2012 – 6/2014
T3 Trading Group, LLC – Proprietary Equities Trader	5/2011 – 3/2012
Avatar Securities – Proprietary Equity Trader Class B Member	9/2009 – 4/2011
T3 Trading Group, LLC – Proprietary Trading Student	8/2009 – 9/2009
University of Central Florida Foundation, Inc. – Finance/Accounting Intern	8/2008 – 7/2009
Y-Partnership – Accounting Intern	9/2007 – 5/2008

### **Designations:**

Michael Matthews has earned the following designation(s) and is in good standing with the granting authority:

### Chartered Financial Analyst® (CFA®) - CFA Institute

2015

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 107,000 CFA charterholders working in 135 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. Source: CFA Institute

### **Disciplinary Information**

Michael Matthews has no reportable disciplinary history.

#### Other Business Activities

### Investment-Related Activities

Michael Matthews is the 10% Shareholder of Financial Trust Research Partners (FTRP), an Investment Research company, Mr. Matthews spends about 10% of his time on FTRP related matters. Please refer to the cover page for additional information regarding Financial Research Partners.

Information provided by FTRP may or may not have already been implemented by Financial Trust for its clients' accounts, models, or strategies. FTRP or Financial Trust does not assume any responsibility for any perceived opinions regarding the information contained in the investment research. Due to the timing of the research from FTRP as well as uncontrollable market movements and events the clients, models and strategies of Financial Trust and any subscribers or readers of FTRP research may ultimately invest in or exit out of the markets at widely varying prices.

Michael Matthews does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

#### Non-Investment-Related Activities

Michael Matthews currently serves as an adjunct professor at Florida Atlantic University for the CFA Level 1 review program.

### Additional Compensation

Michael Matthews does not receive any economic benefit from a non-advisory client for the provision of advisory services.

### Supervision

Arno O. Mayer as CEO/CCO/Chief Investment Officer of Financial Trust Asset Management is responsible for all supervision and formulation and monitoring of investment advice offered to clients. Mr. Mayer and Mr. Matthews are responsible for the overall firm management. Compliance matters are reviewed by Mr. Mayer as the Chief Compliance Officer.

Arno Mayer, the Chief Compliance Officer, can be contacted by phone at 561-391-8188.

# Requirements for State-Registered Advisers

Michael Matthews has never been the subject of a bankruptcy petition nor does he have any additional reportable disciplinary history to report under this item.