



Financial Trust Asset Management Privacy Policy

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the product or service you have with us. This information can include but is not limited to:

- Social security number
- Income
- Assets
- Risk tolerance
- Transaction history
- Account Numbers

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons we choose to share; and whether you can limit this sharing.

We can share your personal information for our everyday business purposes, which includes but is not limited to processing your transactions, maintaining your account(s), or responding to court orders and legal investigations.

The following are some reasons we do NOT share your information:

- For our marketing purposes
- For joint marketing with other financial companies
- For our affiliates' everyday business purposes
- Information about your transactions and experiences
- Information about your credit worthiness.

How do we protect your personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How do we collect your personal information?

We collect your personal information, for example, when you

- Enter into an investment advisory contract
- Seek financial advice
- Make deposits or withdrawals from your account
- Tell us about your investment or retirement portfolio

- Give us your employment history

We also collect your personal information from your custodians which you authorize us to receive information from upon opening an account with the custodian(s).

Why can't you limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

We only share information in order to process your transactions, maintain your account(s) or respond to court orders and legal investigations.

State laws and individual companies may give you additional rights to limit sharing.

Confidentiality in agreements with third parties

For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted by law.

Third parties that require access to your personal information include but are not limited to compliance consultants, custodians, GIPS®* performance verifiers, auditors, financial planning software providers, and customer relationship management (CRM) software providers. The providers we use include but are not limited to National Regulatory Services as our compliance consultant, Schwab and Folio as our custodians, ACA Performance Services as our GIPS® verifiers, MoneyGuidePro, as our financial planning software provider, and Redtail Technology as our CRM provider. In order to conduct everyday business operations, we may share your information with these providers. Note, these providers may be subject to change.

* The Global Investment Performance Standards (GIPS®) is a set of standardized, industry-wide ethical principles that guide investment firms on how to calculate and present their investment results to clients and prospective clients.

If you have any questions, please contact us:

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